

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Stan Nowak  
Dorota Helena Kieronska  
Vin C. Morley

Serial No.: 10/511,861

Filing Date: October 15, 2004

Title: Fluid Cooled Brake Housing

Attorney Docket No.: ASEFF.0101

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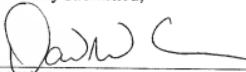
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**SECOND REQUEST FOR ENTITY STATUS CHANGE  
AND REQUEST FOR REFUND**

This application was filed with the applicants listed as large entity in good faith. A "Notification of Large Entity Status by Error and Request for Refund" was filed on February 8, 2005, which has not been acknowledged. Therefore, we state again that it has been discovered that such status as a large entity was established in error, and the applicant is a small entity. Therefore, in accordance with 37 C.F.R. §1.28, Applicant requests that the large-entity status on this patent application be withdrawn and changed to small-entity, and that the excess fees in the amount of \$1,465.00 be refunded to Deposit Account No. 50-0392.

Date: October 17, 2007

Respectfully submitted,

  
\_\_\_\_\_  
David W. Carstens  
Registration No. 34,134  
Attorney for Applicants

CARSTENS & CAHOON, LLP  
PO Box 802344  
Dallas, Texas 75380  
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**Acknowledgement Receipt**

The USPTO has received your submission at **18:42:49** Eastern Time on **12-OCT-2007**.

No fees have been paid for this submission. Please remember to pay any required fees on time to prevent abandonment of your application.

**eFiled Application Information**

EFS ID	2316603
Application Number	10511861
Confirmation Number	6378
Title	Fluid cooled brake housing
First Named Inventor	Stan Nowak
Customer Number or Correspondence Address	Carsten & Cahoon P O Box 802334 Dallas TX 75380 US
Filed By	David William Carstens/Joann Young
Attorney Docket Number	ASEFF.0101
Filing Date	08-FEB-2005
Receipt Date	12-OCT-2007
Application Type	U.S. National Stage under 35 USC 371

**COPY****Application Details**

Submitted Files	Page Count	Document Description	File Size	Warnings
ASEFF0101_EntityStatus_ReqRefund.pdf	1	Refund Request	35287	◆ PASS

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 Indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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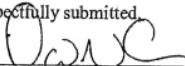
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PO Box 1450  
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**NOTIFICATION OF LARGE ENTITY STATUS BY ERROR  
AND REQUEST FOR REFUND**

This application was filed with the applicant listed as large entity in good faith. It has now been discovered that such status as a large entity was established in error, and the applicant is a small entity. Therefore, in accordance with 37 C.F.R. §1.28, Applicant requests that the large-entity status on this patent application be withdrawn and changed to small entity, and that half the application fee paid (\$790) be refunded to Deposit Account No. 50-0392.

Respectfully submitted,

  
\_\_\_\_\_  
David W. Carstens  
Registration No. 34,134  
Attorney for Applicant

Date: February 8, 2005  
CARSTENS & CAHOON, L.L.P.  
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February 8, 2005  
David W. Carstens

Inventors: Stan Nowak, et al.  
Title: Fluid Cooled Brake Housing  
File: ASEFF.0101

On the date stamped hereon, the U.S. Patent and Trademark Office received the following:

1. Transmittal in duplicate (2 pgs);
2. Executed Declaration and Power of Attorney (3 pgs);
3. Executed Assignment with Form PTO -1595 (4 pgs);
4. Notification of Large Entity Status by Error and Request for Refund (1 pg);
5. Information Disclosure Statement with PTO/SB/08A, Int'l Search Report and cited references B1 to B4 (50 pgs);
6. A check in the amount of \$40 (1 pg); and
7. Postcard Acknowledgement (1 pg).

Total 52 Pages  
D102 Rec'd PCT/PTO 08 FEB 2005

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CARSTENS & CAHOON, LLP  
ATTORNEYS AND COUNSELORS

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Attached are our Refund Requests submitted on February 8, 2005 and October 12, 2007.	
To date we have not had any response to these requests.	
Please contact Joann Young at (972) 367-2001 ext. 157	
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